

2017/18 Annual Audit Plan	Audit Days Budget 2017/18	Dept
<b>KEY FINANCIAL SYSTEMS REVIEWS</b>		
Business Rates	12	Resources
Community Care Payments	10	Resources
Council Tax	10	Resources
Creditors (inc P2P)	8	Resources
Debtors	10	Resources
Housing Benefits	10	Resources
Housing Rents & Accounting	10	Resources
Housing Repairs	10	Place
Main Accounting System	5	Resources
Parking Enforcement & Tickets	15	Place
Payments to Schools	5	Resources
Payroll - Data Analysis	15	Resources
Pensions	10	Resources
Treasury Management	3	Resources
Follow-up of audits	20	
<b>Total Key Financial Systems Audits</b>	<b>153</b>	
<b>RISK BASED AUDITS</b>		
Budget Management - People Department	10	People
0 - 25 Service : Payments to Families and S17 Payments	15	People
Appointeeships	10	People
CALAT – Income collection	10	People
Care Market Management	10	People
Children's Services : Caseload Management	10	People
Deprivation of Liberty Safeguards	10	People
Direct Payments	10	People
Health Visits	10	People
No Recourse to Public Funds	10	People
Open Book Accounting	12	People
Registrars	10	People
Role of Caretakers in Contract Management	10	People
Schools Forum and its role in Funding	10	People
Sheltered Housing	10	People
Temporary Accommodation - Occupancy Checks and Rebooking	10	People
Un-Accompanied Asylum Seeking Children	15	People
Voids	10	People
Youth Offending Service	10	People
Abandoned Vehicles	10	Place
Bridges and Infrastructure	10	Place
Coast to Capital	10	Place
Development Management	10	Place
Economic Development / Dedicated Loans Company	10	Place
Food Safety	10	Place

Pay and Display Meter Maintenance and Income Collection	10	Place
Planning Pre-Application Advice Panel	10	Place
Public Events	10	Place
Street Trading - Income Collection	10	Place
Transport	10	Place
Tree Root Inspections	10	Place
Adecco Agency Contract	20	Resources
Management of Tier 1 Contracts	10	Resources
Establishment Control	10	Resources
Gifts and hospitality	5	Resources
Brokerage	10	Resources
Croydon Equipment Solutions (Supply and cost control)	10	Resources
Election Accounts and Claims	10	Resources
ICT Client Team - Contract Management of ICT contracts	10	Resources
Mayors Charity Accounts	10	Resources
Pension Fund - Admitted and Scheduled Bodies	10	Resources
Voluntary Organisations - Community Fund	10	Resources
Follow-up of audits	55	
<b>Total Departmental Risk Register Audits</b>	<b>492</b>	
<b>COMPUTER AUDITS</b>		
Anti Virus and Malware	10	Resources
Backup and Business Continuity	10	Resources
GIS	10	Resources
MY app	10	Resources
MyAccount	10	Resources
Peoples ICT	15	People
PSN	15	Resources
SQL Server	10	Resources
Unix/Linux Operating System	10	Resources
Windows Active Directory	15	Resources
Windows Operating System	10	Resources
IT Strategy	0	Resources
SharePoint pre-impl (move to cloud)	0	Resources
SharePoint app (after move)	0	Resources
Follow up of audits	10	
<b>Total Computer Audits</b>	<b>135</b>	
<b>CONTRACT AUDITS</b>		
Various Contract Audits	110	TBA
Follow-up of Contract audits	10	
<b>Total Contract Audits</b>	<b>120</b>	
<b>SCHOOLS AUDITS</b>		
<b>Nursery Schools</b>		
Crossfield Nursery and Selhurst Early Years	5	People
Coulsdon Nursery	5	People
Purley Nursery	5	People
Tunstall Nursery	5	People
Thornton Heath Early Years Centre	5	People
<b>Primary Schools</b>		
All Saints C of E Primary School	5	People

Beaumont Primary	5	People
Beulah Juniors	5	People
Cypress Primary School	5	People
Elmwood Infants School	5	People
Elmwood Junior School	5	People
Gilbert Scott Primary School	5	People
Heavers Farm Primary	5	People
Howard Primary School	5	People
Margaret Roper Catholic Primary	5	People
Minster Nursery and Infant School	5	People
Norbury Manor Primary	5	People
Purley Oaks Primary School	5	People
Rockmount Primary School	5	People
Selsdon Primary School	5	People
St Joseph's Federation	5	People
Winterbourne Nursery and Infants	5	People
Woodcote Primary School	5	People
<b>Secondary Schools</b>		
Archbishop Tenison	7.0	People
St Marys High School	7.0	People
Coloma	7.0	People
<b>Special Schools</b>		
Saffron Valley	7	People
Priory	5	People
Follow-up of Schools audits	24	
<b>Total Schools Audits</b>	<b>172</b>	
<b>CONTINGENCY</b>		
Contingency for fraud including NFI and other ad hoc audits	43	
Contingency for Grant Claims	15	
<b>Total Contingency</b>	<b>58</b>	
<b>ADMIN AND MANAGEMENT</b>		
Attendance at meetings, discussions, Audit Committee etc	25	
Internal Audit Strategy and Plans Development	10	
Year End Summary Report (Council-wide Head of Audit Report)	5	
<b>Total Admin and Management</b>	<b>40</b>	
<b>GRAND TOTAL BUDGET</b>	<b>1170</b>	
<b>In-House Governance Team</b>		
	<b>132.5</b>	
<b>Mazars PSIA Ltd</b>		
	<b>1037.5</b>	